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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/712,192	11/15/2000	Allen Louis Gorin	AT&T 2000-0110

23888  
KENYON & KENYON  
1500 K STREET, N.W., SUITE 700  
WASHINGTON, DC 20005

FORMALITIES LETTER



\*OC000000005850925\*

Date Mailed: 03/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$144.
  - \$144 for 8 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 984.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

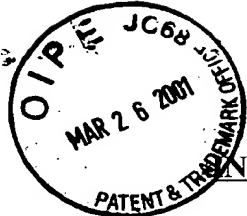
03/29/2001 CCHAU1 00000004 110600 09712192

01 FC:101 710.00 CH  
02 FC:103 144.00 CH

04/11/2001 SSESHE1 00000024 110600 09712192

01 FC:105 130.00 CH

*sector #4*



**PATENT**

Attorney Docket No. 2685/5614  
AT&T Attorney Docket: 2000-0110

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of : GORIN, Allen L., et al.  
Serial No. : 09/712,192  
Filing Date : November 15, 2000  
For : **METHOD AND SYSTEM FOR PREDICTING  
PROBLEMATIC SITUATIONS IN AUTOMATED DIALOG**

Commissioner of Patents and Trademarks  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application dated March 12, 2001, please note that a payment of \$854.00 was submitted by our office to be charged to Kenyon & Kenyon's Deposit Account upon filing this application, however, we neglected to check the box indicating authority. Attached please find a copy of the following:

1. Notice to File Missing Parts of Application;
2. Copy of Application Transmittal dated November 15, 2000 hereby authorizing the Commissioner to charge indicated fees of \$854.00 and credit any over payment to Deposit Account No. 11-0600; and
3. Executed declaration

The Commissioner is hereby authorized to charge \$984.00, or credit any over payment to Deposit Account No. 11-0600.

Respectfully submitted,

Dated: 24 Mar 01

Ronald E. Prass, Jr. (Registration No. 42,089)  
KENYON & KENYON  
1500 K Street, N.W., Suite 700  
Washington, DC 20005  
Telephone: (202) 220-4200  
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#4

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 854

O/E J668 3210  
MAR 26 2001  
PATENT & TRADEMARK OFFICE

Complete if Known	
Application Number	To Be Assigned
Filing Date	November 15, 2000
First Named Inventor	Allen L. Gorin et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket No.	AT&T 2000-0110

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 11-0600

Deposit Account Name:

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION**

1. BASIC FILING FEE				
Large	Entity	Small	Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee
<b>SUBTOTAL (1)</b>				<b>(\$ 710)</b>

**2. EXTRA CLAIM FEES**

Total Claims	28	-20**	=	8	X	18	=	144
Independent Claims	3	-3**	=	0	X	80	=	0
Multiple Dependent					X		=	0

Large	Entity	Small	Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>				<b>(\$ 144)</b>

**FEE CALCULATION (continued)**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	<b>(\$ 0)</b>

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Ronald E. Prass, Jr.	Registration No. Attorney/Agent)	42,089	Telephone	(202) 220-4207
Signature				Date	November 15, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.